

On April 6, 2006, the Court issued a Temporary Restraining Order (“TRO”) (Dkt. # 8). This matter is scheduled for an expedited hearing before the National Association of Securities Dealers (“NASD”) in NASD Arbitration Number 06-01781 on April 24, 2006 at 9 a.m. (“Expedited Hearing”). As such, the parties have agreed to stipulate to a Preliminary Injunction in this matter pending an Order of the NASD Arbitration Panel.

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**IT IS HEREBY ORDERED AND DECREED THAT, BASED ON THE STIPULATION  
OF THE PARTIES THROUGH THEIR COUNSEL OF RECORD:**

1. The security in the amount of \$25,000.00 previously posted by plaintiff pursuant to the TRO be continued as security for the issuance of the Preliminary Injunction.

2. Defendants are enjoined and restrained, directly or indirectly, and whether alone or in concert with others, including any officer, agent, representative, and/or employee of Alvord's new employer, D.A. Davidson & Company, until the Expedited Hearing by the NASD in NASD Arbitration Number 06-01781, and thereafter until further Order of the NASD Panel or further Order of the Court from:

a. initiating any further contact or communication with any client of RBC Dain ("RBC Dain clients") whom Alvord served or whose name became known to Alvord while in the employ of RBC Dain either for the purpose of advising said clients of his new affiliation or for the purpose of inviting, encouraging, or requesting the transfer of any accounts or business from RBC Dain (excluding members of Alvord's immediate family);

b. using, disclosing, or transmitting, for any purpose, information derived from the records of RBC Dain concerning its customers, including for purposes of soliciting or accepting business or account transfers from any RBC Dain customer formerly serviced by Alvord.

3. Defendants, and anyone acting in concert or participation with defendants who receives actual notice of this Order, including any agent, employee, officer, or representative of D.A. Davidson & Company, are further ordered to return to RBC Dain's Bellingham, Washington, office any and all records, documents, and/or information pertaining to RBC Dain clients, whether in original, copied, computerized, handwritten, or any other form, and to purge any such information

4. This Order shall remain in full force and effect until such time as 1) the Court issues a further Order, or 2) the NASD Panel issues its Order regarding a permanent injunction.

Robert S. Lasnik  
Robert S. Lasnik  
United States District Judge

Stipulated and Agreed to by:

BRENEMAN LAW FIRM

FOSTER &amp; PEPPER PLLC

By /s/  
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By                     /s/                      
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**STIPULATED PRELIMINARY INJUNCTION**  
Page 3 of 3

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